



All other materials are included in the readers for the course available from University Readers (go to [www.universityreaders.com](http://www.universityreaders.com) and click on the "Students Buy Here" button). All the above, as well as other required readings will be available on reserve in the undergraduate library. Graduate students are required to read all the recommended readings as well as the required readings. Other requirements and bases for grading are described in detail on the final page of this syllabus.

**SCHEDULE OF CLASS SESSIONS AND READINGS:**

<b>Week 1:</b>	<b>Jan 8</b>	I. Political Thought, Political Legitimacy, and Revolutionary Change II. The French Revolution and the Marxian Paradigm of Revolutionary Change
	Readings:	In Tucker, ed., <i>Marx-Engels Reader</i> : · "Marx on the History of His Opinions" · "Contribution to the Critique of Hegel's <i>Philosophy of Right</i> : Introduction" Moore, <i>Social Origins of Dictatorship and Democracy</i> , Preface, chaps 2 and 9 Skocpol, <i>States and Social Revolutions</i> , pp.47-67, 112-128, chaps. 4-5
	Rec'd:	Moore, <i>Social Origins of Dictatorship and Democracy</i> , chap. 1
<b>Week 2:</b>	<b>Jan 15</b>	Competing Approaches to the Study of Revolution
	Readings:	Skocpol, <i>States and Social Revolutions</i> , chap. 1 Hoston, <i>The State, Identity, and the National Question</i> , Introduction, chap. 1: "Marxism, Revolution, and the National Question" In Tucker, ed., <i>Marx-Engels Reader</i> : · "Theses on Feuerbach" · "The German Ideology" · "Manifesto of the Communist Party" · "Eighteenth Brumaire of Louis Bonaparte," pp. 594-98 <sup>2</sup>
	Rec'd:	Wolin, "The Politics of the Study of Revolutions," <i>Comparative Politics</i> 5.3 (April 1973): 343-358 James C. Davies, "Toward a Theory of Revolution," <i>American Sociological Review</i> 27.1 (February 1962): 5-19 Tilly, "Does Modernization Breed Revolution?" <i>Comparative Politics</i> 5.3 (April 1973): 425-447 Ted Robert Gurr, "The Revolution-Social Change Hypotheses," <i>Comparative Politics</i> 5.3, Special Issue on Revolution and Social Change (Apr., 1973), pp. 359-392

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<sup>2</sup> Brumaire ["Fog"] was the second month of the French revolutionary calendar. See an explanation in the handout that you can download from the class site.

**Assignment #1**

**Due via e-mail to russianrevolution2019-subscribe@yahoo.com**  
by 11:59 p.m. PST, Friday, January 20, 2019

- Week 3: Jan 22** The Prehistory of the Russian Revolution  
Readings: Alexander Gerschenkron, "Problems and Patterns of Russian Economic Development"  
Robert C. Tucker, "The Image of Dual Russia," in Tucker, *The Soviet Political Mind* (New York: Norton & Norton, 1971)  
Skocpol, *States and Social Revolutions*, pp. 81-99  
Ulam, *The Bolsheviks*, chaps. 1 and 2  
Hoston, "Conceptualizing Bourgeois Revolution: The Prewar Japanese Left and the Meiji Restoration" *Comparative Studies in Society and History* (1990)  
<http://www.jstor.org/stable/179052>
- Week 4: Jan 29** The Transformation of Marxism in Russia  
Required: Ulam, *The Bolsheviks*, chaps. 4-5  
Deutscher, *The Prophet Armed*, pp. 55-65, 76-97, 117-144  
In Tucker, *Lenin Anthology*:  
· "What Is To Be Done?"  
· "The Right of Nations to Self-Determination"  
Cohen, *Bukharin and the Bolshevik Revolution*, chap. 1  
Rec'd: S. H. Baron, "Plekhanov and the Origins of Russian Marxism," *Russian Review*, 13.1 (January 1954): 38-51, available online:  
<http://links.jstor.org/sici?sici=00360341-28195401%2913%3A1%3C38%3APATOOR%3E2.0.CO%3B2-6>  
S. H. Baron, "The Transition from Feudalism to Capitalism in Russia," *American Historical Review* 77.3 (June 1972): 715-729
- Week 5: Feb 5** Thought and Practice in the Russian Revolution from 1905 to 1917  
Required: Cohen, *Bukharin and the Bolshevik Revolution*, chap. 2  
Knei-paz, *Social and Political Thought of Leon Trotsky*, chaps. 3 and 4 (R)  
In Tucker, ed., *The Lenin Anthology*:  
· "Two Tactics of Social Democracy"  
· "Imperialism, the Highest Stage of Capitalism"  
· "The April Theses"  
Rec'd: S. H. Baron, "Plekhanov, Trotsky and the Development of Soviet Historiography," *Soviet Studies* 24.3 (July 1974): 380-395, available online at:  
<http://links.jstor.org/sici?sici=0038-5859%28197407%2926%3A3%3C380%3APTATDO%3E2.0.CO%3B2-W>

Samuel H. Baron, "The Resurrection of Plekhanovism in Soviet Historiography," *Russian Review* 33.4 (October 1974): 386-404, available online at:

<http://links.jstor.org/sici?sici=0036-0341%28197410%2933%3A4%3C386%3ATROPIS%3E2.0.CO%3B2-S>

**Week 6: Feb 12** Workers, Peasants, and Soldiers in the Russian Revolution  
Readings: Ulam, *The Bolsheviks*, chap. 7  
Lenin, "The Dual Power," in Tucker, *Lenin Anthology*  
Paul Avrich, "Russian Factory Committees in 1917" (available at: <http://www.jstor.org/stable/41042054>)

Ferro, "The Russian Soldier in 1917: Undisciplined, Patriotic, and Revolutionary" <http://www.jstor.org/stable/2493539>  
Alexander Rabinowitch, "The Petrograd Garrison and the Bolshevik Seizure of Power," pp. 172-191, in Alexander and Janet Rabinowitch, eds. *Revolution and Politics in Russia* (Indianapolis: Indiana University Press, 1972)  
Skocpol, *States and Social Revolutions*, pp. 128-140

**Tuesday, February 19, 2019 - Paper Due via Turnitin**

**Week 7: Feb 19** The Consolidation of Power and War Communism  
Readings: Cohen, *Bukharin and the Bolshevik Revolution*, chaps. 3-4  
Lenin, "State and Revolution," in Tucker, *The Lenin Anthology*  
Skocpol, *States and Social Revolutions*, 206-220  
Ulam, *The Bolsheviks*, chap. 8  
Alexander Rabinowitch, "The Evolution of Local Soviets in Petrograd, November 1917-June 1918: The Case of the First City District Soviet," *Slavic Review* 46.1 (Spring, 1987):20-37 at <http://www.jstor.org/stable/2498618>

**Due in Class, Tuesday, February 28: Preparation of a position in the Soviet economic debates of the 1920s**

**Week 8 Feb 26** NEP and the Debate on Soviet Economic Development  
Readings: Lenin's Last Testament: (link also on class website: <https://www.marxists.org/archive/lenin/works/1922/dec/testamnt/congress.htm>)  
Smolinsky, "Planning without Theory"  
Ulam, *The Bolsheviks*, chap. 9  
Cohen, *Bukharin and the Bolshevik Revolution*, chaps. 5-9  
Rec'd: Moshe Lewin, *Russian Peasants and Soviet Power*, Intro., chaps. 1-6  
Thomas P. Bernstein, "Leadership and Mass Mobilisation in the Soviet and Chinese Collectivization Campaigns of 1929-30 and 1955-56: A Comparison," *China Quarterly* no. 31 (July-September 1967): 1-47  
Robert Conquest, *Harvest of Sorrow: Soviet Collectivization and the*

*Terror-Famine* (Oxford and New York: Oxford University Press, 1986),  
chaps. 1-5

**Week 9 Mar 5 Stalinism and Forced Collectivization**

Readings: Skocpol, *States and Social Revolutions*, chaps. 6  
Cohen, Bukharin and the Bolshevik Revolution, chap. 10  
Medvedev, *Let History Judge*, chaps. 3-4, 6, 9

Rec'd.: Lewin, *Russian Peasants and Soviet Power*, chaps. 7-17,  
Conclusion  
Conquest, *Harvest of Sorrow*, chaps. 6-10  
Robert Conquest, *The Great Terror: A Reassessment*,  
(Oxford and New York: Oxford University Press,  
1990), chaps. 1-3

**Week 10 Mar 12 Stalinism, De-Stalinization, and a Fourth Revolution**

Readings: Medvedev, *Let History Judge*, chaps. 11, 15, and  
Conclusion  
Khrushchev, "Secret Speech to the Twentieth Party Congress  
of the CPSU," ("Crimes of the Stalin Era" and "Lenin's  
Testament") in *Khrushchev Remembers* (available on the class  
Yahoo! discussion website)  
Pipes, "Toward the Police State"  
Skocpol, *States and Social Revolutions*, Conclusion

Rec'd.: Conquest, *The Great Terror*, chaps. 4-15

**COURSE REQUIREMENTS:**

Lectures and discussions will be held each Tuesday from 5:00 p.m. to 7:50 p.m. in Center Hall 218. Readings should be completed before class, so that discussions can be wide-ranging and productive.

**Learning Objectives:** After taking this course you should be able to:

1. Identify the major social scientific approaches to revolution, including their origins, processes, and outcomes.
2. Identify the major Russian Revolutions, the social groups and leading individuals to contributed to them, and the dynamics of revolutionary change in Russia from the beginning of the 20<sup>th</sup> century through the Stalinist era.
3. Describe the various philosophical alternatives upon which those who aspired the new Russia relied.
4. Identify the key outcomes of the Russian Revolution and the dynamics of the Stalinist era that culminated in the reversal of the spontaneous elements of the 1905 and 1917 revolutions and the enduring political and economic legacy of the Stalinist era.

**Discussion Assignments:** There will be one or more assignments designed to promote discussion in class and online. To make sure that everyone has an opportunity to participate, preparations for these are short (1-2 pages) and are to be brought to class to be used in discussion.

Exams:

- **Midterm Examination.** There will be a take-home midterm due via Turnitin on **Tuesday, February 19.**
- **Take-home Final Examination.** A take-home final examination based on the entire quarter's work will be distributed on or before Tuesday, **March 7** and is due **between 7:00 p.m. and 10:00 p.m. on Thursday, March 21, 2019** via Turnitin.

Grading:

Class participation	25%	<b>NOTES: All students are required to attend all class meetings.</b> <sup>3</sup> This portion of the grade includes online contributions as well as "live" participation in class discussions. Students who are shy talking in front of others can take advantage of opportunities to contribute to the Yahoo! Group discussions. You can ask questions, answer questions posed by others, help plan review and other study sessions, etc. The point is that <i>active</i> , as opposed to passive, engagement with the material is much more conducive to learning and understanding the material.
Midterm examination	35%	
Final examination	40%	

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<sup>3</sup> The exception to this rule is in case of illness. If you are sick, please **do not** come to class and expose others to your illness. When you have recovered and return to class, bring a note from your doctor, and your absence will be excused.